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PTO/SB/21 (09-04)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/506,449
Filing Date	September 1, 2004
First Named Inventor	Yoshinori Katoh
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	576P068

ENCLOSURES (Check all that apply)

- | | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form
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(Appeal Notice, Brief, Reply Brief)

<input type="checkbox"/> Proprietary Information

<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify
below):
-Form PTO-1449
-References cited - 3
-Copy of the Int. Search Report dated
5/27/03 |
|---|--|---|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Nields & Lemack		
Signature			
Printed name	Kevin S. Lemack		
Date	October 15, 2004	Reg. No.	32,579

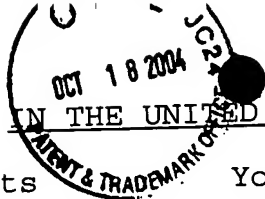
CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	Kevin S. Lemack	Date	October 15, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants Yoshinori Katoh et al.
Serial No. : 10/506,449
Filed : September 1, 2004
For : PHTHALOCYANINE COMPOUNDS, WATER-BASED INK
COMPOSITIONS AND COLORED PRODUCTS
Examiner : Not yet assigned
Art Unit : Not yet assigned
Attorney
Docket No. : 576P068

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

INFORMATION DISCLOSURE STATEMENT

The Examiner is respectfully requested to consider the enclosed documents which are listed on the attached form PTO 1449.

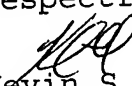
The relevance of the references cited are shown in the International Search Report filed herein on September 1, 2004, dated May 27, 2003, which indicates the degree of relevance found by the U.S. Patent Office.

Copies of the documents listed on the attached form are filed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 15, 2004

Signature: Kevin S. Lemack
Date: October 15, 2004

Respectfully submitted,


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Westboro, MA 01581
TEL: (508) 898-1818



FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. 576P068	SERIAL NO. 10/506,449
	Yoshinori Katoh et al.	
	FILING DATE September 1, 2004	GROUP Not yet assigned

REFERENCE DESIGNATION**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	1 174 432	1/2002	Europe			X-SR	
	BB	2002-006558	1/2002	Japan			*-SR	
	BC	00/64901	11/2000	WO			*-SR	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	CA		Copy of the International Search Report dated 5/27/03
	CB		
	CC		
EXAMINER			DATE CONSIDERED
EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

SR=Cited in Search Report

*-English Abstract